

Express Mail No. EQ 060217486 US

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 22212USWO (C038435/0196793) PPHICATION-NO (If known, see 37 CFR 1.5)

DATÉ CLAIMED

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2004/010283 15 September 2004

23 September 2003

TITLE OF INVENTION COMPOSITIONS FOR THE TREATMENT AND PREVENTION OF DIABETES MELLITUS APPLICANT(S) FOR DO/EO/US Daniel RAEDERSTORFF, Sandra Renata TEIXEIRA, Ying Wang SCHMIDT, Peter WEBER, and Swen WOLFRAM Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |X|The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included:

13. X A preliminary amendment.

An Application Data Sheet under 37 CFR 1.76.

A substitute specification.

11. X

A power of attorney and/or change of address letter.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

18 L A second copy of the published International Application under 35 U.S.C. 154(d)(4).

An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Designation of Registered Practitioners Pursuant to 37 CFR § 1.32(c)(3) (2 pp.); Certificate of Express

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

20. X Other items or information: Mailing (1 page); and Return Postcard.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete. including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

P20R0C'0FCT/PTO 23 MAR 2006

PTC-1390 (Rev. 12-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (ELYOWN SECRET CFR 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER				
PCT/EP2004/010283			22212USWO (C038435/0196793				
21. The followi	ng fees are submitted:		^		Ap	plicant use	Office use only
X a) Basic na	ational fee			\$300.00	\$	300.00	
				\$200.00	\$	200.00	
X c) Search fee			\$500.00	\$	500.00		
	TOTAL OF ABOVE CALCULATIONS = \$1000.00					1,000.00	
☐ listing or co	ee for specification and d	rawings filed ed in an elect	in paper over 100 sheets (excronic medium). The fee is \$2	cluding sequence			
Total Sheets	Extra sheets		each additional 50 or fraction round up to a whole number)				
16 - 100 =	0 /50 =		0	× \$250.00	\$	0.00	
	30.00 for furnishing the odate (37 CFR 1.492(e)).	ath or declara	tion later than 30 months from	m the earliest	\$		
CLAIMS	NUMBER FI	LED	NUMBER EXTRA	RATE	\$		
Total claims	20	- 20 = ¨.	0	x \$50.00	\$	0.00	
Independent clai	ims 4	- 3 =	1	× \$200.00	\$	200.00	
MULTIPLE DEP	ENDENT CLAIM(S) (if a	opticable)		+ \$360.00	\$	0.00	
			TOTAL OF ABOVE C	ALCULATIONS =	\$	1,200.00	
Applicant of by 1/2.	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced \$						•
	SUBTOTAL = \$ 1,200.00						
	date (37 CFR 1.492(f)).	he English tra	anslation later than 30 months	s from the earliest +	\$	0.00	
,			TOTAL N	NATIONAL FEE =	\$	1,200.00	
	g the enclosed assignme te cover sheet (37 CFR 3	•	21(h)). The assignment must 0.00 per property	be accompanied +	\$	0.00	
TOTAL FEES ENCLOSED = \$ 1,200.00							
			Amour	nt to be refunded:			\$
			Amou	unt to be charged			\$
a. X A chec	ck in the amount of \$	1,200.0	00 to cover the above	e fees is enclosed.			
b. Please charge my Deposit Account No in the amount of \$ 1,200.00 to cover the above fees.							
A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit							
Account No. <u>02-4467</u> . A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where a	n appropriate time limit	t under 37 CF	R 1.495 has not been met,		e (37	CFR 1.137(a) or (b))	must be filed
_	restore the Internationa	Il Application	to pending status.	1		ιQ	
	RESPONDENCE TO:	· · · .			٠	1/2	
BRYAN CA	. Haracz, Esq. \VE LLP		·	SIGNATURE		M	
	ue of the Americ	as			Ste	phen J. Brown)
New York,	New York 10104	Ļ		NAME		 	
	2) 541-2000					43,519	
Fax: (21)	2) 541-4630			REGISTRATI	ON N	NUMBER	

Docket No. 22212USWO (C038435/0196793)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

In re	Application of:)		
Dan	iel RAEDERSTORFF et al.		.)		
Based on Int'l Application No.:		PCT/EP2004/010283)	Examiner:	Not yet assigned
International Filing Date:		15 September 2004)	Art Unit:	Not yet assigned
File	d:	Herewith)	•	
For:	COMPOSITIONS FOR TO PREVENTION OF DIABE)		
	CER	TIFICATE OF EXPRESS M	AILIN	<u>IG</u>	
	"Express Mail" Mailing Lat Date of Deposit: March	pel No.: EQ 060217486 US 23, 2006	;		
I he	reby certify that the following:				
[X] [X] [X]		ed States Designated/Electe 371 (2 pp) in duplicate atent And Power Of Attorne ment and PTO-1449 (5 pp, i ort, Written Opinion of the In Report on Patentability, and	y (3 p nclud terna copie	p) (executed ling duplicate tional Searcl	d) e page 3), with hing Authority,
[x] [x] [x]	Designation of Registered Pi Check for \$1,200.00 (Nation	ractitioners Pursuant to 37 C		1.32(c)(3) (2 pp)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Marvin Chisholm

Signature of Person Making Deposit

BRYAN CAVE LLP 1290 Avenue of the Americas New York, New York 10104 (212) 541-2000

[x] Return postcard

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LAP20 REC'D PCTAPTO 23 MAR 2006

Express Mail No. EQ 060217486 US Docket No. 22212USWO (C038435/0196793)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

ror.	PREVENTION OF DIA	THE TREATMENT AND BETES MELLITUS)		
For:	COMPOSITIONS FOR	THE TREATMENT AND		•	
Filed:		Herewith)		
Interna	ational Filing Date:	15 September 2004)	Art Unit:	Not yet assigned
Based	on Int'l Application No.:	PCT/EP2004/010283)	Examiner:	Not yet assigned
Daniel	RAEDERSTORFF et al)		
In re A	pplication of:)		

New York, New York March 23, 2006

<u>PURSUANT TO 37 CFR § 1.32(c)(3)</u>

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Combined Declaration For Patent and Power of Attorney, filed concurrently herewith, names more than ten patent practitioners. Pursuant to 37 CFR § 1.32(c)(3) "such power of attorney must be accompanied by a separate paper indicating which ten patent practitioners named in the power of attorney are to be recognized by the Office as being of record in application or patent to which the power of attorney is

directed." See also "Revision of Power of Attorney and Assignment Practice," 1283 OG 148, June 22, 2004.

Accordingly, this separate paper is being submitted concurrently with the COMBINED DECLARATION FOR PATENT AND POWER OF ATTORNEY to designate the following practitioners for recognition by the Office as of record in the above-captioned application.

Name	Reg. No.
Haracz, Stephen M.	33,397
MacRae, Warren K.	37,876
Hooper, Kevin C.	40,402
Brown, Stephen J.	43,519
Avigliano, Charles	52,578

If any questions arise regarding this paper, please contact the undersigned attorney.

Respectfully submitted,

Stephen J. Brown

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